

# Public Document Pack

## North Lincolnshire Council

<b>AGENDA</b>	
Meeting:	Schools Forum
Meeting Date:	10 June 2021
Venue:	Virtual Meeting via MS Teams
Time:	2.00 - 4.00 pm

<b>NO.</b>	<b>AGENDA ITEM</b>	<b>LEAD</b>	<b>PAPERS</b>	<b>COMMENTS</b>
1.	Welcome/Introductions/Apologies	Chair		
2.	Declarations of Disclosable Pecuniary Interests and Personal or Personal and Prejudicial Interests (if any)	Chair		
3.	Minutes and Actions from last meeting for approval	Chair	Attached	For agreement
4.	Update of Schools Forum Regulations	Tracy Elliott	Attached	For information
5.	Schools Forum representation - Non Schools Members	Alex Dawson	Verbal – Schools Forum Constitution attached	For agreement – refer to membership list on back of document
6.	Dedicated Schools Grant 2020/21 Outturn	Tracy Elliott/Rosie Maughan	Attached	For discussion
7.	Schools Outturn Financial Year 2021	Rosie Maughan	Attached	For discussion
8.	Dedicated Schools Grant 2021/22 Update	Tracy Elliott/Rosie Maughan	Attached	For discussion
9.	Early Years - Dedicated Schools Grant	Nicola Raines	Attached	For discussion/ agreement
10.	Fair Primary and Second Fair Access and Inclusion Panels (FAIP)	Darren Chaplin/Wendy Holmes	Attached	For discussion
11.	Any other urgent business <ul style="list-style-type: none"> <li>• F40 group</li> <li>• Membership – Terms of Office</li> </ul>	Chair		

**FOR INFORMATION**

**Proposed date of the next meeting(s) (all Thursday at 2pm)**

- TBA

## NORTH LINCOLNSHIRE COUNCIL

### SCHOOLS FORUM

21 January 2021

#### **PRESENT:**

##### **School Representatives**

Mr B Lawrance, Mrs D West, Mr R Biglands, Miss A Nuttall, Mrs R Stephenson, Mrs A Cvijetic, Mrs M Potterton

##### **Academies Representatives**

Mr P Raspin, Miss Z Bidmead, Mr M Adnitt and Mr A West

##### **Non Schools Representative**

Mr P Thompson

##### **PVI Representatives**

Mrs M Drury and Mrs C Williams

##### **Executive Elected Members**

Cllr J Reed

##### **Local Authority Officers**

Ms T Elliott (Strategic Lead – Servicedesk), Mr D Chaplin (Head of Access and Inclusion), Ms R Maughan (Specialist – Commercial), Ms J Frost (Education Inclusion Lead Officer), Mrs J Flintoff (Head of Standards and Effectiveness), Ms N Raines (Early Years Sufficiency Manager) and Mrs A Dawson (Democratic Services – Secretary to the Forum)

The meeting was quorate.

The forum met via MS Teams.

#### 917 **APOLOGIES FOR ABSENCE**

Apologies for absence had been received from Mrs T Millard, Ms L Wilson, Mrs S Thomas and Mr M Lochran.

#### 918 **WELCOME AND INTRODUCTIONS** – The Chair welcomed members.

#### 919 **DECLARATIONS OF DISCLOSABLE PECUNIARY INTEREST AND PERSONAL OF PERSONAL PREJUDICIAL INTEREST** - There were no declarations of disclosable pecuniary interests and personal or personal and prejudicial interests.

#### 920 **MINUTES FROM LAST MEETING DATED 1 October 2020 - Resolved** - That the minutes of the meeting held on 1 October 2020, having been printed and circulated amongst the members, be taken as read and correctly recorded, and be signed by the Chair.

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921 **MATTERS ARISING** - Item 926 – Mr Chaplin advised that discussions were taking place with the council’s finance team to enable more information to be sent to schools.

Item 910 – the Secretary informed the meeting that Mr M Adnitt, Principal of The St Lawrence Academy had filled the vacancy for Secondary Academy representative and that Mrs A Cvijetic, Headteacher of Berkeley Primary School, had filled the vacancy for Primary maintained representative. Both new members were in attendance.

922 **DEDICATED SCHOOLS GRANT 2021/22** – Ms T Elliott presented the report.

On 17 December 2020 the Education Funding Agency published the 2021-22 Dedicated School Grant (DSG) allocations. These were based on October 2020 School Census data.

The allocation for North Lincolnshire was £152,810m after deductions for the direct funding of high needs by the Education and Skills Funding Agency.

The report provided information on the planned use of the DSG for 2021/22. The DSG allocations were presented in four blocks, each block was determined by a separate national funding formula:

- Schools Block
- Central Services Schools Block (CSSB)
- Early Years Block
- High Needs Block

Ms Elliott advised that from 2020/21 the Department for Education (DfE) began to reduce the element of funding within the CSSB for historic commitments. For 2021/22 allocations had been reduced by 20%. The DfE intended to provide further details in due course on how this would be funded for future years.

The council must allocate 95% of its 3 and 4-year-old funding to providers; 5% could be retained for central services or for movement out of the early years block to another block. Schools Forum approval was required for central early years block provision.

National increases to high needs funding meant that councils saw a further increase in funding for 2021/22. Using 2020/21 allocations as a baseline this equated to a minimum per head increase of 8% distributed through the high needs national formula.

The Chair enquired whether the increase in the high needs block would continue? Ms Elliott explained that the national increase was to address pressures, however an uplift could be seen next year. Mr Chaplin continued by stating that the council needed to think about how high needs resources were utilised and that he wished to have a discussion with heads on improving inclusivity.

**Resolved** – That the centrally retained schools’ budget, as set out in Appendix 1 to the report:

- Central spend on the early years block provision
- School Admissions
- Servicing of Schools Forum

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- Contribution to the responsibilities that councils hold for all schools,
  -
- be approved.

**923 SCHOOLS FUNDING FORMULA 2021-22** – Mrs R Maughan presented the report.

On 17 December 2020 the Education and Skills Funding Agency published the 2021-22 Dedicated Schools Grant (DSG) Allocations, based on October 2020 School Census data.

The element of DSG available for the Individual Schools Budget (ISB), for all mainstream schools was £121.080m (£112.670m in 2020/21). The Operational guide set out how individual ISB shares could be calculated.

To continue the introduction of the National Funding Formula (NFF) the Department for Education (DfE) intended to consult on forward plans to move to a ‘hard’ NFF in the future so that resources were distributed based on the individual needs and characteristics of every school in the country.

The council’s local formula now reflected the NFF for all factors with the variation to use pupils travel distance to school measured by road or footpath rather than ‘as the crow flies’ when applying the criteria for sparsity. The DfE was continuing to work on a more suitable formula to allocate sparsity funding. The factor values were detailed in Appendix 1 to the report.

The report set out the final proposals for the North Lincolnshire schools funding formula.

Mrs Maughan advised that an analysis had taken place on the minimum and maximum levels of Minimum Funding Guarantee (MFG) permitted and shared her screen in order that members could see the impact.

It was noted that both options for consideration required a contribution from the earmarked reserve.

**Resolved** – (a) That the distribution of the school’s block funding to all schools using Option 1, as set out in the report at paragraph 3.2, be endorsed, and (b) that the contribution of £7.80 per pupil towards the costs of Education Functions the council provided on behalf of maintained schools be approved by maintained schools’ representatives.

**924 EARLY YEARS – DEDICATED SCHOOLS GRANT** – Mrs N Raines presented the report.

Early Years funding was participation based. The Early Years Dedicated Schools Grant for each financial year was revised based on three census counts. Funding allocations were currently provisional for 2021/22 - final allocations are based on 5/12 of January 2020 part time equivalent (pte) child numbers (to cover April 2021 to August 2021) and 7/12 of the January 2021 pte child numbers (to cover September 2020 to March 2022).

Final funding allocations for 2020/21 were based on pte numbers of children recorded on the January 2022 census. The census in January 2021 was confirmed. Where attendance was below 85 per cent of the January 2020 census levels and where councils could provide

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evidence of increased attendance during the spring term a top up would be provided. The top up would only pay for those additional places since the census count and the total allocation for spring term would be limited to a cap equivalent to 85 per cent of the January 2020 census level.

Funding allocations had increased for the 2021/22 financial year with an additional eight pence and six pence per hour per child on the hourly rate for two-year olds (£5.36) and three and four-year olds (£4.44) respectively. Early Years Pupil Premium (EYPP) and Disability Access Funding (DAF) remained at 53p per hour per child and £615 per annum respectively.

Two-year olds were eligible for a maximum of 15 hours funded early learning and care where their family circumstances meant that they were eligible for free school meals, where the family was in receipt of Universal Credit/Tax Credits with an income of approximately £16,000, or where the child was in or had left the care of a local authority; was in receipt of Disability Living Allowance or the child had an education, health and care plan. Approximately 550 children were eligible each term in North Lincolnshire.

All three and four-year olds were entitled to a maximum of 15 hours funded early education in the maintained, private or voluntary sector for 38 weeks of the year. Children with parents in employment may also be eligible for an additional 15 funded hours, if they were both employed. Approximately 44 per cent of funded children took-up the additional funded hours in North Lincolnshire. Provider payments were determined using the Early Years Single Funding formula comprised of a base rate and a number of supplements. EYPP and DAF were ring fenced funding supplements for children that met eligibility criteria.

The report gave details of the revised allocation budgets for two, three and four year funding.

**Resolved** – (a) That the provisional funding allocation in relation to the Early Years Block of the DSG for 2021/22 be noted; (b) that the proposed rate of £5.32 per hour per child for all funded two year olds be supported; (c) that the proposed local supplements of the Early Years Single Funding Formula, deprivation and a sufficiency lump sum, be supported; (d) that an increase to the base rate of 7p to £4.20 per hour per child, as set out in scenario two in the report and the preference of the childcare sector, be supported and (e) that the proposal the Early Years Pupil Premium (53p per hour per child) and the Disability Access Funding (£615 per annum lump sum) be paid at the nationally required rates be supported.

### 925 **DEDICATED SCHOOL GRANT (DSG) HIGH NEEDS FUNDING 2020/2021** – Ms J Frost presented the report.

The report provided an update on the DSG High Needs outturn figures for 2020/2021 and looked ahead to developments in 2021-22.

Requests for an Education Health and Care (EHC) assessment of need had risen year on year, in line with national comparators. During the coronavirus pandemic there had been a significant increase in requests for an EHC assessment of need, which had also been reported nationally. There were currently 1062 children and young people with an Education Health and Care Plan (EHCP). Fifty-eight EHCPs were ceased in the previous 12 months and a further 69 EHC assessments were in progress.

The rate of fixed term exclusions at secondary level had considerably reduced during the Covid-19 period. This gave a real opportunity to ‘re-imagine’ inclusion into the future,

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considering the learning from the Covid-19 response. Throughout the autumn term, there continued to be minimal Fixed Term exclusions at primary level. To date, there had been no exclusions notified to the council during the current Covid lockdown.

There has also been a big reduction in permanent exclusion rates in during the Covid period (autumn term 19/20 academic year identified 15 permanent exclusions with 6 for the same period this autumn term 20/21).

The Fair Access and Inclusion Panels continued to sit fortnightly for secondary and monthly for primary. Protocols for both panels were currently being refreshed to include information on access to the new KS2 Coritani. Coritani KS2 opened its doors to pupils this month and an offer of support was in place for schools during Covid lockdown. An official opening would take place at a later date.

Ms Frost also gave members information on the SEND Capital Grant, highlighting key points.

Mr Chaplin advised that North Lincolnshire were around the national average with regard to EHCPs as a percentage of the children's population of the area. He explained that some work had begun and several current strategies would require a revisit. A draft SEND Inclusion Plan 2021-24 would shortly be circulated to schools. Feedback on the draft plan before February half term would be appreciated.

**Resolved** – That head teachers and principals continued to work with the council to implement and embed area-wide solutions:

- i) Specialist advice and support with reference to behaviour for learning at secondary phase
- ii) Further develop local inclusion opportunities (SEND Capital Funding) to enable opportunities for a further completion exercise
- iii) School level planning/target setting to reduce exclusion.

### 926 **CENTRAL SERVICES FOR SCHOOLS** – Mrs J Flintoff presented the report.

The report updated the forum on further reductions to the Historic Commitments element of the Dedicated Schools Grant (DSG) and sought to consider the options with regard to the implications for its current use in supporting schools.

The DSG Historic Commitments funding currently funded two main strands of work:

- Peer Leader and School Improvement Partner programmes: school improvement focussed activities which were managed through the council.
- Learning networks: largely delegated to the professional associations, as well as funding some central workforce development such as the aspirant head programme, Safeguarding Pro, and innovation grants for schools or the Primary Engineering project.

In Autumn 2019 the Department for Education announced the intention to make a 20% year on year reduction to the Historic Commitments budget. The 2020/21 Historic Commitments element of the DSG should have been reduced by £73,000, however Schools' Forum agreed

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**21 January 2021**

that £55,000 could be contributed from DSG earmarked reserves to support transition and enable consultation to happen as to how to secure reductions.

However, this meant that for 2021/22 there would be a steeper reduction across the historic commitments top line, resulting in a total budget for Peer Leadership Programme, SIP School Improvement Support and the Learning Networks of £233,000. This reduction presented some challenging choices.

The report set out the two options available for consideration. A discussion ensued on the issues and implications of both options.

**Resolved** – That Option 2, as set out in paragraph 3.2 of the report, be approved.

**927 THE SCHEME FOR FINANCING SCHOOLS** – Ms R Maughan presented the report.

Councils were required to publish schemes for financing schools, setting out the financial relationship between them and the schools they maintained. It was statutory guidance that set out what councils must do to comply with the law (School & Early Years Finance Regulations 2020).

The scheme contained requirements relating to the financial management and associated issues which were binding on both the council and the Governing Bodies of schools.

From time to time the councils needed to revise the scheme, revisions included directed revisions by the Department for Education, other important changes and administrative changes proposed by the council.

All proposed amendments must be submitted to the Schools Forum for approval by members representing maintained schools.

The report set out the two directed revisions and revisions proposed by the council.

**Resolved** – That the changes to the Scheme of Finance, as set out in the report, be approved.

**928 ANY OTHER BUSINESS** – The Secretary informed members that the representative for the RC Diocese, who had been appointed in January 2020, had not as yet attended a meeting of the forum. **It was agreed that** the Secretary contacts the diocese to ask if the current representative wished to continue as a member.

**929 DATE AND TIME OF FUTURE MEETINGS** – **Resolved** – That the next meeting of the forum would take place on Tuesday 23 March 2021 at 2.00pm via MS Teams.

A further meeting was scheduled for Thursday 10 June 2021, also at 2.00pm.

**From:** ESFA funding <esfa.funding@notifications.service.gov.uk>

**Sent:** 26 March 2021 10:12

**To:** Alex Dawson <Alex.Dawson@northlincs.gov.uk>

**Subject:** FOR INFORMATION: The Schools Forums (England) (Coronavirus) (Amendment) Regulations 2020



Dear colleague,

This note is to advise that The School and Early Years Finance (England) Regulations 2021 amended The Schools Forums (England) (Coronavirus) (Amendment) Regulations 2020 to make permanent provisions to enable schools forums meetings to be held remotely.

This includes (but is not limited to) telephone conferencing, video conferencing, live webcast, and live interactive streaming. Where a schools forum decides to hold a public meeting by remote means only, the forum should provide support or make alternative arrangements so that any interested parties who do not have telephone or online access can attend virtual meetings. We have updated the Schools Forum Operational and Good Practice Guide to reflect this change.

If you have any questions please contact  
[LAFP.SCHOOLSFORUM@education.gov.uk](mailto:LAFP.SCHOOLSFORUM@education.gov.uk)

Kind regards

Funding Directorate

Education and Skills Funding Agency

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**North  
Lincolnshire  
Council**

**NORTH LINCOLNSHIRE SCHOOLS FORUM  
CONSTITUTION 2020**

<b>Version</b>	<b>Date</b>	<b>Approval</b>
Previous Approved	21 June 2017	Schools Forum
Latest Approved	11 June 2020	Schools Forum

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# Constitution of North Lincolnshire Schools Forum

## Section 1 – About the Forum

### Regulations

National regulations<sup>1</sup> govern the composition, constitution and procedures of Schools Forums. The Department for Education have published good practice guidance for Local Authorities and Forum Members<sup>2</sup>, Schools and Academies<sup>3</sup> in respect of Schools Forums. These are available via the <https://www.gov.uk/guidance/schools-forum-a-guide-for-schools-and-academies> website.

The Local Authority has the responsibility for establishing Schools Forums. They also have an ongoing responsibility to provide them with appropriate support, information and guidance in carrying out their functions and responsibilities.

Schools Forum is made up of representatives from schools and academies, but with some representation from other non-school organisations, such as nursery and 16-19 further education providers. The forum acts as a consultative body on some issues and a decision making body on others.

### Schools Forum powers

#### Decisions

The overarching areas on which Schools Forums make decisions on local authority proposals are:

- De-delegation from mainstream schools budgets (separate approval will be required by the primary and secondary phase members of Schools Forum), for prescribed services to be provided centrally.
- To create a fund for significant pupil growth in order to support the local authority's duty for place planning (basic need) and agree the criteria for maintained schools and academies to access this fund.
- To create a fund for falling rolls for good or outstanding schools if the schools' surplus capacity is likely to be needed within the next three years to meet rising pupil numbers and agree the criteria for maintained schools and academies to access this fund.
- Continued funding at existing levels for prescribed historic commitments where the effect of delegating this funding would be destabilising.
- Funding for the local authority in order to meet prescribed statutory duties placed upon it. Approval is required to confirm the amounts for

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<sup>1</sup> Schools Forums (England) Regulations 2012 (S.I. 2012/2261)

<sup>2</sup> Schools Forums: operational and good practice guide For local authorities and members of Schools Forums

<sup>3</sup> Schools Forum: A guide for schools and academies on its role and their responsibilities

each duty and no new commitments or increases in expenditure from 2013/14 are permitted unless agreed by the Secretary of State.

- Funding for central early years expenditure, which may include funding for checking eligibility of pupils for an early years place, the early years pupil premium and/or free school meals.
- Authorising a reduction in the schools budget in order to fund a deficit arising in central expenditure that is to be carried forward from a previous funding period.

In each of these cases, the local authority can appeal to the Secretary of State if the Schools Forum rejects its proposal.

### **Consultation**

Regulations state that the local authority must consult the Schools Forum annually in connection with various schools budget functions, namely:

- amendments to the school funding formula, for which the voting is restricted by the exclusion of non-schools members except for PVI representatives
- arrangements for the education of pupils with special educational needs, including the special places to be commissioned by the authority in different institutions and the arrangements for paying top-up funding
- arrangements for the use of pupil referral units and the education of children otherwise than at school, including the AP places to be commissioned by the authority and by schools in PRUs and other AP providers and the arrangements for paying top-up funding
- arrangements for early years provision
- administrative arrangements for the allocation of central government grants paid to schools via the local authority

Consultation must also take place when a local authority is proposing a contract for supplies and services which is to be funded from the Schools Budget and is in excess of the EU procurement thresholds. The consultation must cover the terms of the contract at least one month prior to the issue of invitations to tender.

The Schools Forum has the responsibility of informing the governing bodies of all schools maintained by the local authority of the results of any consultations carried out by the local authority relating to the issues above.

Local authorities will need to discuss with the Schools Forum any proposals to:

- vary the Minimum Funding Guarantee (MFG)
- use exceptional factors
- vary pupil numbers
- allow additional categories of, or spending on, central budgets
- amend the sparsity factor

- vary the lump sum for amalgamating schools
- vary the protection for special schools and special academies

## **Effective Schools Forums**

The membership of the Schools Forum must include the appropriate number of academy school representation in order to be able to play a meaningful part in the discussions of the Schools Forum.

Central to the effectiveness or otherwise of a Schools Forum will be the relationship between it and its local authority. This can be influenced by the support it provides; the resources it devotes and the weight it gives to the views of Schools Forums all contribute to the nature of the relationship. There are therefore a number of characteristics of this relationship that are particularly important:

**Partnership:** *Having a shared understanding of the priorities, issues and concerns of schools, academies and the local authority.*

**Effective Support:** *The business of the Schools Forum is supported by the local authority in an efficient and professional manner.*

**Openness:** *It is important that a Schools Forum feels it is receiving open, honest and objective advice from its local authority.*

**Responsiveness:** *Local authorities should as far as possible be responsive to requests from their Schools Forums and their members. Schools Forums themselves should also be aware of the resource implications of their requests.*

**Strategic view:** *Members of Schools Forum should consider the needs of the whole of the educational community, rather than using their position on a Schools Forum to advance their own sectional or specific interests.*

**Challenge and Scrutiny:** *Schools Forums may be asked to agree to proposals from their local authority that will have an effect on all schools and academies in the local area. The extent to which Schools Forums can scrutinise and challenge such proposals is an important aspect of their effectiveness.*

### **Responsibilities of schools and their representatives**

Schools can expect to have their views canvassed and to receive feedback from their representatives, who have a responsibility to represent the interests of their peer group rather than the interests of their own individual school.

When electing an individual to represent the interests of their respective group, schools should satisfy themselves that their chosen representative(s) is competent to act as their advocate.

School staff and governors should ensure that they are aware of business under discussion at Schools Forum and should provide feedback to their elected representatives to ensure that their views are considered when decisions affecting schools' finances are being made.

### **Responsibilities of the Local Authority**

Local authorities are required to publish all papers on a publicly-available website well in advance of the meeting. Papers should contain clear recommendations and the responsible officers should attend the meeting to provide further information and advice. Local authority officers should not dominate the meeting.

All meetings of the Schools Forum must be open to the public. Observers do not have an automatic right to speak at the meetings, although the Chair may allow contributions if it seems appropriate.

## Section 2 – Terms of Reference

### The Forum

- Gives a view and informs the governing bodies of all consultations carried out on the following matters:
  - Schools Funding Formula changes including redistributions
  - Contracts (where the LA is entering into a contract to be funded from the schools budget)
  - Financial issues relating to : arrangements for pupils with special educational needs, in particular the places to be commissioned by the LA and schools and the arrangements for paying top-up funding; arrangements for use of pupil referral units and the education of children otherwise than at school, in particular the places to be commissioned by the LA and schools and the arrangements for paying top-up funding ; arrangements for early years provision administrative arrangements for the allocation of central government grants;
  
- Gives a view on:
  - Minimum funding guarantee
  - Membership : length of office of Schools Member (good practice)
  
- Decides:
  - De-delegation for maintained schools (primary and secondary school member representatives will decide their phase) for:
    - Contingencies
    - Administration of free school meals
    - Insurance
    - Licences/subscriptions
    - Staff costs – supply cover (Trade Union Facilities Time)
    - Support for minority ethnic pupils/underachieving groups
    - Behaviour support services
    - Library and museum services
    - School improvement
  - Central spend on and the criteria for allocating funding from:
    - Funding for significant pre-16 pupil growth, including new schools set up to meet basic need, whether maintained or academy
    - Funding for good or outstanding schools with falling rolls where growth in pupil numbers is expected within three years.
  
  - Central Spend on:
    - Equal pay back-pay
    - Places in independent schools for non-SEN pupils
    - Early years block provision
    - Funding to enable all schools to meet the infant class size requirement
    - Admissions

- Servicing of schools forum
  - Capital expenditure from revenue (plans planned and decided prior to April 2013)
  - Contribution to combined budgets (agreement prior to April 2013)
  - Schools budget centrally funded termination of employment costs (decided prior to April 2013)
  - Schools budget funded prudential borrowing costs (commitment approved prior to April 2013)
  - Carry forward a deficit on central expenditure to the next year to be funded from the schools budget
  - Remission of boarding fees at maintained schools and academies
  - Services previously funded by the retained rate of the ESG (relevant maintained school members)
- Approves:
    - Scheme of financial management changes (school members only)
  - Determines voting procedures
  - Elects Chair of Forum
  - Is informed by the Local Authority of:
    - High needs block provision
    - Central licences negotiated by the Secretary of State

### **Status of the Forum**

The Forum is a non-executive body established to take decisions on a range of issues relating to the funding of schools.

Meetings of the Forum will be open to the public except for any confidential matters which will be discussed within a closed meeting. The Chair will decide if any item is confidential. The agenda, supporting papers and minutes shall be made available via the Council's Internet. Minutes of the Forum meetings will be distributed to the governing bodies of all North Lincolnshire Schools.

### **Section 3 – Membership of North Lincolnshire Schools Forum**

#### **Composition**

The forum shall comprise 21 members who shall be Headteachers, Governors and Non School representatives.

Schools members and Academies members must together comprise at least two thirds of the membership of the forum.

Primary schools, secondary schools and Academies must be broadly proportionately represented on the forum, having regard to the total number of pupils registered at them<sup>4</sup>.

The number of members representing schools in a particular school category must be broadly proportionate to the total number of schools in that category when compared with the total number of schools maintained by the authority

### **School Members**

Schools Forums Regulations state that the number of representatives for each phase should be proportionate to the ratio of pupils in each phase. North Lincolnshire Schools Forum comprises 10 school members.

a) Primary Headteachers

4 members shall be elected by the Primary Heads Group

b) Secondary Headteachers

2 member shall be elected by the Secondary Heads and Principals Group

c) Special School Headteacher

1 member shall be elected from the Special Schools

d) Pupil Referral Unit Headteacher or Governor

1 member shall be elected from the Pupil Referral Units

e) Governors

2 Governor Representatives shall be elected by the North Lincolnshire Association of Governing Bodies. This will be 2 representing primary schools (there will be one governor representation for secondary academy and one for primary academy).

### **Academy Members**

6 members to represent the Academies (2 primary, 3 secondary, one AP)

At least 1 Academy member secondary is appointed as a Governor Representative

At least 1 Academy member primary is appointed as a Governor Representative

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<sup>4</sup> NB Jan 17 23.5% Primary pupils in academies/ 70% Secondary pupils in academies – based on the total primary reps (maintained and academy) which is 8, 24% equates to 2 members should be academy primary. There are 4 secondary reps, 70.9% equates to 4 members

At least 1 Academy member must be a representative of an Alternative Provision Academy.

Schools Forums Regulations state that Academies members representing mainstream Academies must be elected to the schools forum by the proprietors of mainstream Academies in the authority's area

This will normally be a head teacher or a governor. In order to identify the nominated member, the relevant Head/Principals group will determine (using voting where required) for heads representative and the North Lincolnshire Association of Governing Bodies will determine for governor representative.

Where there is only one Alternative Provision Academy the proprietor of that alternative provision Academy must select the person who will represent it on the schools forum

### **Non School Members**

North Lincolnshire Council shall nominate and appoint 5 non schools members.

- a) 2 members from the Private, Voluntary and Independent Early Years sector shall be nominated by North Lincolnshire Council.
- b) 1 member shall represent the providers of 16-19 education (FE sector and other institutions that specialise in special education needs and learning difficulties and disabilities provision.
- c) 2 members shall be nominated from the relevant diocesan authorities.

### **Substitute Members**

Substitute members may be used in exceptional circumstance to cover long term absence.

### **Participation of Observers**

Observers shall be invited to attend Forum meetings. Observers may participate in debate but will not have voting rights should any business of the Forum require a vote. The following groups shall be asked if they would like to nominate an observer (and a named substitute) to the Forum:

- Education Funding Agency

As part of succession planning nominated observers from each category may attend the meetings.

## **Executive Elected Members**

Elected members with responsibility for education/children's services or resources of the local authority are able to participate in Schools Forum meetings. There will be an open invitation for Cabinet Member for Children Families and Culture to attend and speak at Schools Forum meetings.

## **North Lincolnshire Council Officers**

The following officers can speak at meetings of the Schools Forum:

- Director Learning Skills and Culture (as the representative of the DCS)
- Chief Financial Officer) - Lead Officer to the Forum
- Any person invited by the Schools Forum to provide financial or technical advice
- Any person presenting a paper to Schools Forum but their ability to speak is limited to the paper that they are presenting.

## **Term of Office**

The term of office for members of the North Lincolnshire Schools Forum shall be 3 years, subject to their remaining eligible.

As well as the term of office coming to an end, a schools member ceases to be a member of the Schools Forum if he or she resigns from the Forum or no longer occupies the office which he or she was nominated to represent.

## **Section 4 – Operating Conventions of the North Lincolnshire Schools Forum**

### **Ordinary Meetings**

An ordinary meeting of the Forum shall be held, at a minimum, four times a year. The Forum may agree to meet more frequently than this as required. In addition the chair may call an extraordinary meeting at his or her discretion. Meetings will be called at a time and place to be determined by the Forum.

*\*Please see Section 6 below – ‘The Schools Forum (England) (Coronavirus) (Amendment) Regulations 2020’.*

### **Notice of Meetings**

Meetings of the Forum shall be convened by the Local Authority, who will also arrange secretariat responsibilities including the clerking of meetings.

Items for consideration by the Forum shall be submitted to the clerk no later than 10 working days prior to the meeting. The agenda and papers shall be sent by email to members no later than 5 working days prior to the meeting.

## **Chair and Vice Chair**

The Forum will elect a Chair and Vice Chair from the members of the Forum. The term of office is one year, but with the prospect of annual re-election.

### **The Forum Chair**

- Shall chair meetings of the Forum
- Shall agree Forum meeting dates with the lead officer
- Shall agree Forum agenda items with the lead officer
- May, in the case of any recorded vote of Forum members resulting in a tied result, cast an additional deciding vote
- May, if requested by the Council, express an informal view on urgent matters as required between scheduled Forum meetings

## **Quorum**

The Forum shall be quorate if at least 40% of the total membership is present (this excludes observers and the membership count excludes vacancies) which equates to 8 members if no vacancies.

## **Voting**

Each Member shall have only one vote. Voting shall be by show of hands. The Chair will have a second or casting vote. (At remote meetings this may be required by a roll call by the clerk, requested by the chair)

The funding formula is limited to schools members, academies members and PVI representatives.

De-delegation will be limited to the specific primary and secondary phase of maintained schools members.

## **Sub-Committees and Working Groups**

The Forum may have sub-committees or working groups. The Forum shall receive reports from the sub-committees or working groups to approve formally.

## **Declarations of Interest**

Any Member of the Forum who has an interest in any proposal beyond the generality of the group they represent or in which they might have a disclosable pecuniary interest or personal and prejudicial interest in, shall declare an interest at the beginning of the relevant item. The member can explain any issues to the meeting and then must leave the meeting until the item is finished. The member cannot vote on that item.

## **Expenses**

The Local Authority shall maintain a small budget for expenses relating to the operation of the Forum from the Schools Funding Block with any underspend returned to the block.

The Local Authority shall reimburse the reasonable expenses of a school member of the Forum when members submit appropriate claims, in connection with attendance at meetings. The following expenses can be claimed:

- Supply cover, only when it has been necessary to employ a supply cover teacher to enable the Headteacher to attend the Forum.
- The cost of private car travel at 20p per mile – or public transport if appropriate
- The cost of childcare may be claimed where members need to make such an arrangement whilst attending a meeting of the Forum.
- Where members incur loss of earnings as a result of attending a meeting of the Forum

## **Other Constitutional Matters**

Any constitutional matters arising which are not specifically covered by the text of this constitution shall be considered and decided on by the Forum, taking into account relevant legislation and Government advice, and in particular with reference to the DFE publication “Schools Forums: operational and good practice guide For local authorities and members of Schools Forums”.

**Section 5 – Membership** (based on pupil numbers and academies as at Jan 2017 23.5% of primary pupils are in academies and 70.9% of secondary pupils are in academies)

<b>Name</b>	<b>Type/Phase/Locality</b>	<b>Term End</b>
<b>Schools Representative (10)</b>		
Anna Cvijetic	Prim – Scun North	21 January 2024
Andrea Nuttall	Prim – Scun South	13 January 2023
Rachel Stephenson	Prim – Scun South	13 January 2023
Maz Potterton	Prim – Barton	13 January 2023
Ben Lawrance	Sec – Scun South	13 June 2021
Rob Biglands	Sec - Brigg	13 June 2021
Denise West	Gov – Prim - Jun – Kirton Lindsey	5 July 2021
Shelley Thomas	Gov – Prim – Scun North	5 July 2021
Tracey Millard	Special – Secondary	15 June 2021
Vacancy	PRU	
<b>Academy Representative (6)</b>		
Zoe Bidmead	Sec – Scun	28 March 2021
Mike Adnitt	Sec – Scun	16 December 2023
Peter Raspin	Gov – Sec – Scun South	5 July 2021
Tracey Norriss	Prim – Scun	28 March 2021
Alan West	Gov – Prim - Brigg	5 July 2021
David Flowitt	PRU (Isle Academy Trust)	13 June 2021
<b>PVI Representative (2)</b>		
Clare Williams	Bottesford Bunnies	4 October 2024
Michelle Drewery	ILearn Education Ltd	26 September 2021
<b>Non Schools Representative (3)</b>		
Mick Lochran (Substitute: Leon Riley)	16-19 FE providers	18 June 2021

Paul Thompson	C of E diocese	1 October 2023
Vacancy	RC Diocese	

### **Section 6 - The Schools Forum (England) (Coronavirus) (Amendment) Regulations 2020.**

As part of the Coronavirus Act 2020 the above regulations amend the Schools Forums (England) Regulations 2012 coming into effect from 18 June 2020 until 31 March 2021. The meetings of Schools Forum are amended by –

1. Not limiting a meeting to a meeting of persons all of whom, or any of whom are physically present in the same place, and includes meetings held through remote means.

2. Members can be present at meetings through remote means where they are able to access and participate simultaneously by electronic means (including (but not limited to) telephone and video conferencing, live webcast and live interactive streaming). The preference of North Lincolnshire Council as the local authority being Microsoft Teams.

3. Members of the Schools Forum will be considered present at remote meeting (through Microsoft Teams) only if the following are conditions are satisfied –

A member accessing the remote meeting must be able to –

(a) hear and be heard by, and where practicable, see and be seen by any member present, and

(b) be heard and, where practicable, seen by members of the public at the meeting.

(the minimum requirement above is to hear and be heard).

4. In accordance with 3 above, as the Forum is a public meeting, the public must also be able to access the meeting remotely at least to hear proceedings. This is provided through a secure ‘live public access link’ on the North Lincolnshire Council website (Schools Forum relevant page). The public accessing the meeting through the live link to hear/observe are unable to participate in the meeting (be heard or seen).

With reference to Section 1 above ‘Responsibilities of Local Authorities’ paragraph, members of the public requesting to ask a question at a meeting (which is at the discretion of the chair) should contact the clerk who can arrange secure access to enable their participation in the meeting.

5. Please note that the above regulations maybe relaxed by the government with notice any time before 1 April 2021.

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## NORTH LINCOLNSHIRE COUNCIL

### SCHOOLS FORUM

#### DEDICATED SCHOOLS GRANT 2020/21 Outturn

#### 1. OBJECT AND KEY POINTS IN THIS REPORT

- 1.1 To update the schools forum on the Dedicated Schools Grant (DSG) Outturn for 2020/21.
- 1.2 To update the forum on the DSG earmarked reserve.

#### 2. BACKGROUND INFORMATION

- 2.1 The DSG is a ring fenced specific grant that must be used in support of the schools budget as defined in the School and Early Years Finance (England) (No 2) Regulations; it can be used for no other purpose.
- 2.2 Local authorities are responsible for determining the split of the grant between central expenditure and the individual schools budget (ISB) in conjunction with local schools forums.
- 2.3 Local authorities are responsible for ensuring that the DSG is deployed in support of the schools budget. This includes both DSG funding allocated to central expenditure and funding for the ISB allocated in accordance with their local schools funding formula.

#### 3. OPTIONS FOR CONSIDERATION

- 3.1 The final DSG allocation for 2020/21 was £142.227m (£86.869m following academy and high needs recoupment of £55.357m).
- 3.2 Funding deployed to maintained schools amounted to £60.658m.

- 3.3 Central Expenditure for early years, high needs and central provision amounted to £24.439m.
- 3.5 A breakdown of expenditure for each of the central budgets for early years, high needs and central provision is shown in appendix 1.
- 3.6 The net effect of spending resulted in the following contribution to the DSG ring fenced earmarked reserves.

	<b>DSG Grant Allocation £000's</b>	<b>Expenditure £000's</b>	<b>Drawdown (-/ Contribution to Earmarked Reserves £000's</b>
Individual School Budgets	60,650	60,658	-8
Central School Services Block (inc. Growth Funding)	1353	992	361
High Needs Block	15,559	14,347	1,212
Early Years Block	9,307	9,100	207
	<b>86,869</b>	<b>85,097</b>	<b>1,772</b>
Early Years Prior Year Adjustment		153	-153
Teachers Pay and Pension Grant	172		172
	<b>87,041</b>	<b>85,250</b>	<b>1,791</b>

#### 4. ANALYSIS OF OPTIONS

- 4.1 Individual School Budgets were set in January 2021 for the 2021/22 financial year. Once allocated, school funding is counted as expenditure in support of the schools budget.
- 4.2 At the end of the financial year the central expenditure element of the schools budget may be under or overspent. If there is an underspend in respect of central expenditure, this must be carried forward to support the schools budget in future years, including any of the budget that is moved into earmarked reserves.
- 4.3 New provisions have been put into the School and Early Years Finance (England) Regulations 2020, so that local authorities are required to carry forward overspends to their schools' budget. This creates, on a statutory basis, a new requirement that a deficit must be carried forward to be dealt with from future DSG income.

#### 5. FINANCIAL AND OTHER RESOURCE IMPLICATIONS (e.g. LEGAL, HR, PROPERTY, IT, COMMUNICATIONS etc.)

- 5.1 A breakdown of 2020/21 expenditure is detailed in appendix 1.

- 5.2 The final expenditure and adjustments during 2020/21 result in an additional £1.791m being allocated to the DSG earmarked reserve.
- 5.3 The DSG earmarked reserve as at 31<sup>st</sup> March 2021 is £3.860m.
- 5.4 On 21<sup>st</sup> January 2021 the Schools Forum endorsed the use of £183k earmarked reserves in setting the individual school budgets for 2021/22.

## 6. OTHER IMPLICATIONS

- 6.1 The Chief Financial Officer (CFO) needs to ensure that expenditure charged to the schools budget falls within the definitions set out in regulations 6 and 7 of the Schools and Early Years Finance (England) Regulations 2020. This includes both DSG funding allocated to central expenditure and funding for the Individual Schools Budget (ISB).
- 6.2 At the end of the 2020/21 financial year the chief finance officer (CFO) is required to append an additional note to the statement of accounts confirming the deployment of the DSG in support of the schools budget.
- 6.2 The CFO is also required to sign an outturn assurance statement confirming the actual deployment of the DSG.

## 9. RECOMMENDATIONS

- 9.1 That the school forum note the final reported outturn for 2020/21.

STRATEGIC LEAD (FINANCE SERVICEDESK)

Church Square  
House High Street  
Scunthorpe  
North Lincolnshire  
DN15 6NL  
Author: Tracy Elliott  
Date: 01 June 2021

**Background Papers used in the preparation of this report –**  
DSG Final Allocations 2020/21  
DSG Conditions of Grant 2020/21

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			DSG BLOCK	Total DSG Allocation	Outturn	Variance
<b>INDIVIDUAL SCHOOLS BUDGET (ISB)</b>						
Primary & Secondary Schools			SB	57,340,831	57,340,831	
Special Schools Place Led Funding (to be confirmed)			HN	3,117,500	3,117,500	
Pupil Referral Units Place Led Funding (to be confirmed)			HN	200,000	200,000	
<b>TOTAL ISB</b>				<b>60,658,331</b>	<b>60,658,331</b>	<b>0</b>
<b>HIGH NEEDS BUDGET</b>						
	E689M	Top Up Funding for Maintained Schools		4,027,000	3,626,320	400,680
	E689AC	Top Up Funding for Academies		839,000	1,222,380	-383,380
	E685	Top Up Funding for Independent Schools		4,759,000	4,625,763	133,237
Top Up Funding	E689C	Top Up Funding Colleges		341,000	105,969	235,031
			HN	9,966,000		
	E590	Tuition and Medical Needs		197,000	184,967	12,033
Other AP provision	E612	Alternative Provision		1,042,000	1,078,649	-36,649
			HN	1,239,000		
	E120	Special Needs General		367,000	411,431	-44,431
	E122	Autism		383,000	391,907	-8,907
	E171A	Portage		166,000	160,380	5,620
	E214	SEND Education Inclusion		173,000	176,673	-3,673
	E730	LSC Information & Support		77,000	76,666	334
	E762	SEN Careers & Progression		17,000	0	17,000
	E741	Hearing Support Service		357,000	304,328	52,672
	E742	Visually Impaired Service		401,000	331,198	69,802
	E743	Physically Impaired Service		113,000	140,996	-27,996
	E804AA	Speech & Language Therapy		178,000	145,368	32,632
	E621	Educational Wellbeing		338,000	347,541	-9,541
	E104	Education Inclusion Team		660,000	528,555	131,445
	E534	KS2 Provision		450,000	488,008	-38,008
SEN support services	E231	Early Learning Development Centre		14,000	0	14,000
			HN	3,694,000		
<b>TOTAL HIGH NEEDS BUDGET</b>				<b>14,899,000</b>	<b>14,347,098</b>	<b>551,902</b>
<b>EARLY YEARS BUDGET</b>						
	E663	3 & 4 yr old funding	EY	7,507,390	7,378,948	317,052
		Pupil Premium	EY	151,710		
		Disability Access	EY	36,900		
	E834C	Child Ptnsp: SEN & Inclusion		47,000	47,000	0
	E663A	Sufficiency Team		88,000	88,000	0
	E242	Best Start Early Years Support		131,000	104,321	26,679
Central expenditure on children under 5	CF545	Family Support		81,000	81,000	0
			EY	347,000		
<b>TWO YEAR OLD FUNDING</b>	E669			1,264,000	1,401,096	-137,096
<b>TOTAL EARLY YEARS BUDGET</b>				<b>9,307,000</b>	<b>9,100,365</b>	<b>206,635</b>
<b>CENTRAL PROVISION WITHIN SCHOOLS BUDGET (CSSB)</b>						
	E763	Learning Networks		125,000	167,140	-42,140
	E764	SIPs		140,000	71,988	68,013
Historic Commitments (Contributions to combined budgets)	E610	LSCB		27,000	27,000	0
			CB	292,000		
School admissions	E675		CB	81,000	69,342	11,658
Servicing of schools forums	F1850		CB	17,000	17,000	0
National copyright licence charge	E798		CB	128,883	128,883	0
ESG Retained Duties						
Statutory & Regulatory Duties	N233			168,000		
Education Welfare				170,000		
Asset Management				82,000		
			CB	420,000	420,000	0
Growth Fund	E672		SB	300,000	29,167	270,833
SEN Transport	E648B			61,000	61,000	0
<b>TOTAL CSSB</b>				<b>1,299,883</b>	<b>991,519</b>	<b>308,364</b>
<b>TOTAL SCHOOLS</b>				<b>60,658,331</b>	<b>60,658,331</b>	<b>0</b>
<b>TOTAL CENTRAL</b>				<b>25,505,883</b>	<b>24,438,982</b>	<b>1,066,901</b>
<b>TOTAL SCHOOLS BUDGET</b>				<b>86,164,214</b>	<b>85,097,313</b>	<b>1,066,901</b>
<b>DSG FUNDING</b>						
Schools Block				112,670,361		
Academy Recoupment				-55,037,494		
Central Block				1,052,495		
High Needs Block (provisional)				18,876,725		
Early Years Block (provisional)				9,307,015		
<b>Final DSG Allocation</b>				<b>86,869,102</b>		
<b>Funding Block Analysis</b>						
				<b>Allocation</b>	<b>Budget</b>	<b>EMR</b>
Schools Block less recoupment				57,332,867	57,340,831	7,964
Growth Fund				300,000	300,000	0
Central Block				1,052,495	999,883	-52,612
High Needs Block after recoupment				18,876,725	18,216,500	-660,225
Early Years Block				9,307,015	9,307,000	-15
				<b>86,869,102</b>	<b>86,164,214</b>	<b>-704,888</b>
High Needs Teachers Pension Grant		Teachers Pension Grant (High Needs Central Staff)			-172,225	-172,225
		Early Years prior year adjustment			152,917	152,917
				<b>86,869,102</b>	<b>86,144,906</b>	<b>-724,196</b>
						724,196
						<b>1,791,098</b>
						DSG Earmarked Reserve b/fwd
						2,068,602
						<b>3,859,700</b>

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## NORTH LINCOLNSHIRE COUNCIL

### SCHOOLS FORUM

#### SCHOOLS OUTTURN FINANCIAL YEAR 2020-21

##### 1. OBJECT AND KEY POINTS IN THIS REPORT

- 1.1 To inform the Schools Forum of the outturn of individual school budgets and the overall financial position of North Lincolnshire maintained schools at the close of financial year 2020-21.

##### 2. BACKGROUND INFORMATION

- 2.1 Individual school budgets for 2020-21 amounted to £112.378m of which £54.990m was recouped by the Education & Skills Funding Agency (ESFA) for academy schools. In addition, maintained schools carried forward balances of £3.196m from 2019/20.
- 2.2 Actual expenditure by maintained schools in 2020-21 was £57.637m, resulting in an in-year contribution to reserves of £3.022m.

##### 3. OPTIONS FOR CONSIDERATION

- 3.1 At the end of 2020-21 the number of school budgets with deficit balances is 5 (7 in 2019/20). Two Primary schools cleared their one-year deficits and one Primary federation of two schools has cleared a long standing deficit. One Primary converted to academy with a deficit balance which will be reimbursed by the ESFA in 2021-22. One primary school unexpectedly ended the year with deficit balance within 2% of their total budget. The school has set a budget plan to clear the deficit within 2021-22 and is not required to apply for a licensed deficit.
- 3.2 Three schools continue with on-going deficits. All are making progress having reduced their deficits from -20.2% to -9.6%; -11.6% to -5.9%; -17.0% to -5.6%.

- 3.3 Thirty-five schools have increased or maintained their surplus balances including those reducing or removing their deficit balances. Seven schools hold positive excessive balances (over 15% of the school budget), with four of these having held such balances for two years. Those schools have therefore been asked to provide further details of the intended use of these surplus reserves.

#### **4. ANALYSIS OF OPTIONS**

- 4.1 The value of the total carry forward for all schools has increased by £3.02M and is 9.7% of total school budget. Schools have received full funding and felt varying financial effects of the pandemic. Additional grants were received where exceptional costs were evidenced (£176k) and catch-up grants (£624k) were received by all schools for the academic year 2020-21. The sports premium grant was received by primary schools and is permitted to be carried forward into the 2021-22 financial year recognising that some of the activities planned for this grant will not have been possible. Some schools also received a Supplementary FSM grant (total of £232K across 44 schools) in February to compensate for the lagged funding of free meals provided in 2019-20. Most schools were protected from the impact of reduced meal income via the NLC Catering SLA and additional meals provided in school holidays were funded centrally.
- 4.2 The schools with licensed deficits are on track to return to balanced budgets within their agreed time frames. To ensure this positive path is continued the School Finance team will continue to support schools and governors in their budget monitoring in accordance with the Scheme for Financing Schools.
- 4.3 Schools with surplus reserves have provided details of their intentions:
- Contribution to capital works and play equipment for pupils including use of Sport/PE grant;
  - Modernisation of therapy equipment and IT infrastructure;
  - Purchase/lease of minibus, photocopier and specialised equipment
  - Smoothing the gap between rising or falling NOR and school funding;
  - Providing cover during periods of maternity leave and staffing uncertainties;
  - Staffing for COVID catch-up including use of grant;
- 4.4 School balances are included on the National Schools CFR return and committed and uncommitted balances are reported.

#### **5 FINANCIAL AND OTHER RESOURCE IMPLICATIONS**

- 5.1 The increase to reserves of £3.021m brings the total value held in individual schools to £6.217m. DSG carry forward amounts to £3.859m

5.2 The scheme of finance states that the maximum proportion of the collective balances held by the authority, which will be used to back the licenced deficit arrangement will not exceed 50%.

5.3 Deficit balances held by schools now totals £0.367M a reduction of £0.696M from 2019-20 and stands at 3.6% of collective balances.

## **6 OTHER IMPLICATIONS**

6.1 There are no other implications to this report.

## **7 RECOMMENDATIONS**

7.1 It is recommended that the Schools Forum note the contents of this report.

STRATEGIC LEAD (FINANCE SERVICEDESK)

Church Square House  
High Street  
SCUNTHORPE  
North Lincolnshire  
DN16

Author: Rosie Maughan

Date: 2<sup>nd</sup> June 2021

**Background Papers used in the preparation of this report – none**

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School Name	Carry Fwd	Carry Fwd as	Carry Fwd	Carry Fwd as	Carry Fwd	Carry Fwd as
	2018/19	% of Budget	2019/20	% of Budget	2020/21	% of Budget
	£	%	£	%	£	%
Alkborough Primary	£51,824	12.84%	£41,275	10.65%	£18,800	4.67%
Althorpe & Keadby Primary	£22,923	2.93%	£45,399	5.36%	£110,180	11.92%
St Barnabas' C.E. Primary	£91,632	11.76%	£80,542	10.13%	£43,703	5.75%
John Harrison C.E.	£44,867	5.74%	£87,674	10.51%	£95,006	10.58%
Bowmandale Primary	£138,311	10.18%	£171,390	12.12%	£244,413	16.28%
Barton St Peters C.E.Primary	£168,149	14.26%	£164,438	13.54%	£227,302	18.20%
Belton All Saints C.E. Primary	£126,617	14.95%	£159,166	17.51%	£182,075	18.27%
Bottesford Infants	£56,850	6.60%	£54,943	6.26%	£29,912	3.35%
Holme Valley Primary	£132,324	8.01%	£78,455	4.75%	£142,567	8.51%
Brigg Primary	£56,640	3.99%	£76,875	5.25%	£158,438	10.42%
Broughton Primary	£58,746	4.37%	£68,698	4.98%	£63,197	4.45%
Burton Stather Primary	£47,593	5.31%	£59,338	7.06%	£51,821	5.86%
Bushfield Road Infants	£54,859	6.84%	£39,654	4.82%	£49,819	6.06%
Crosby Primary	£130,345	6.30%	£99,469	4.39%	£109,423	4.89%
Eastoft C.E. Primary	£2,035	-0.64%	£7,732	2.41%	£7,704	2.30%
Enderby Road Infants	£19,470	-4.27%	£87,046	-20.20%	£40,296	-9.56%
Frodingham Infants	£44,759	5.30%	£57,853	6.59%	£48,882	5.55%
Estuary Federation	£74,868	-6.85%	£134,950	-11.55%	£82,337	-5.85%
Gunness & Burringham C.E.Prim	£30,524	6.27%	£42,655	8.52%	£47,820	9.79%
Trentside Federation	£22,274	3.30%	£2,687	0.18%	£7,754	-0.52%
Kirmington C.E. Primary	£31,321	8.88%	£33,947	9.51%	£18,035	5.11%
Kirton Lindsey Primary	£65,257	6.87%	£4,531	0.47%	£17,140	1.77%
Luddington & Garthorpe Primary	£1,117	0.34%	£9,471	2.61%	£23,671	6.10%
Messingham Primary	£36,613	3.86%	£42,080	4.24%	£48,273	4.73%
New Holland Ce/Methodist Prim	£1,482	0.53%	£20,094	-6.37%		
St Martins C.E. Primary	£573	-0.13%				
St Peter & St Paul's Primary	£234,704	18.72%	£227,457	15.86%	£245,277	16.03%
Scunthorpe C.E. Primary	£13,580	1.17%	£26,374	2.25%	£87,670	6.94%
West Butterwick C.E. Primary	£30,871	9.71%				
Winterton C.E. Infants	£7,246	0.92%				
Winterringham Primary	£83,279	9.34%	£34,537	3.62%	£48,308	5.34%
Wootton St Andrew'S Ce Primary	£70,559	17.44%	£42,249	10.65%	£52,173	13.12%
Wrawby St Mary'S C.E. Primary	£67,384	-12.94%	£28,753	-5.74%	£13,863	-2.77%
Wroot Travis Charity Primary	£8,924	3.42%	£28,279	10.53%	£34,091	12.46%
Westcliffe Primary	£42,511	-1.62%	£170,442	-6.03%	£19,535	0.68%
Lincoln Gardens Primary	£177,508	10.18%	£175,069	9.52%	£239,717	12.71%
Oakfield Primary School	£5,421	0.46%	£16,731	-1.45%	£15,570	1.32%
The Grange Primary	£52,557	3.16%	£21,660	-1.28%	£50,939	3.14%
Castledyke Primary	£100,513	8.52%	£44,180	3.79%	£19,303	1.71%
Berkeley Primary	£118,947	5.03%	£46,875	2.09%	£253,051	10.85%
Bottesford Junior	£64,764	6.17%	£27,755	2.64%	£69,959	6.75%
Leys Farm Junior	£123,859	14.61%	£115,215	14.99%	£138,900	17.00%
Winterton Junior	£66,134	6.50%	£70,560	3.95%	£166,358	9.15%
Killingholme Primary	£55,692	9.81%	£62,664	11.22%	£145,612	24.25%
<b>TOTAL PRIMARY SCHOOLS</b>	<b>£2,422,744</b>	<b>5.84%</b>	<b>£1,849,810</b>	<b>4.62%</b>	<b>£3,180,397</b>	<b>7.66%</b>
Frederick Gough School	£726,062	10.34%	£680,495	9.14%	£881,837	11.44%
Baysgarth School	£738,361	23.77%	£583,139	16.96%	£222,740	5.59%
Sir John Nelthorpe	£241,292	-9.22%	£92,168	3.01%	£476,009	13.16%
<b>TOTAL SECONDARY SCHOOLS</b>	<b>£253,591</b>	<b>-2.00%</b>	<b>£189,524</b>	<b>1.40%</b>	<b>£1,135,105</b>	<b>7.40%</b>
St Hugh'S Communi&Interact Col	£580,680	27.98%	£954,832	40.11%	£1,429,522	51.89%
St Luke'S Primary Special Sch	£97,627	7.02%	£45,844	3.40%	£178,246	13.07%
<b>TOTAL SPECIAL SCHOOLS</b>	<b>£678,307</b>	<b>19.55%</b>	<b>£1,000,676</b>	<b>26.84%</b>	<b>£1,607,768</b>	<b>39.04%</b>
Darley Centre	£13,431	-5.37%	£560	-0.30%	£86,488	43.37%
<b>TOTAL ALL SCHOOLS (exc. Trusts etc)</b>	<b>£2,834,029</b>	<b>4.92%</b>	<b>£3,039,451</b>	<b>5.22%</b>	<b>£6,009,759</b>	<b>9.74%</b>
Deficits	£1,199,924	9 schools	£1,063,375	8 schools	£366,989	4 schools & 1 academy converter
<b>Carry Forwards</b>						
<b>DSG</b>	£1,487,611		£2,008,603		£3,859,700	
<b>Schools</b>	£2,939,424		£3,195,862		£6,217,372	
<b>TOTAL</b>	£4,427,035		£5,204,465		£10,077,072	
	<b>-27.10%</b>		<b>-20.43%</b>		<b>-3.64%</b>	of school & DSG balances
					<b>-5.90%</b>	of school balances

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## NORTH LINCOLNSHIRE COUNCIL

### SCHOOLS FORUM

#### Dedicated Schools Grant 2021/22 Update

#### 1. OBJECT AND KEY POINTS IN THIS REPORT

- 1.1 On 17<sup>th</sup> December 2020, the EFA published the 2021-22 Dedicated Schools Grant (DSG) Allocations.
- 1.2 This report provides information on the updated DSG Allocations published in March 2021 and planned spending of central expenditure.

#### 2. BACKGROUND INFORMATION

- 2.1 The DSG allocations are presented in four blocks. Each block is determined by a separate national funding formula.
- 2.2 In March 2021 the Education and Skills Funding Agency (ESFA) updated DSG allocations for recoupment and high needs deductions for academies. The table below details the changes made to each block:

	Published Dec 2020 £m	Published March 2021 £m	Variance £m
Maintained Schools	61.635	61.042	-0.593
Academy Recoupment	59.445	60.038	+0.593
<b>Schools Block</b>	<b>121.080</b>	<b>121.080</b>	<b>0</b>
Central Services Schools Block (CSSB)	1.128	1.128	0
Early Years Block (provisional)	9.434	9.434	0
High Needs Block (provisional)	21.168	21.052	-0.116
<b>Total DSG</b>	<b>152.810</b>	<b>152.694</b>	<b>-0.116</b>

### 3. **OPTIONS FOR CONSIDERATION**

- 3.1 Since December there is one change to the **Schools Block** being the conversion of one maintained primary school to academy status.
- 3.2 The change to the **High Needs Block** is because of an increase in places being agreed from September 2021 at the Alternative Provision Academy.
- 3.3 The 2021 to 2022 High Needs import/export adjustment data published in July 2020 has been used for the provisional 2021 to 2022 allocations data.
- 3.4 The **Early Years block** indicative allocations are calculated as follows:
- the number of part-time equivalents (PTEs) taking up the entitlements as recorded on the January 2020 schools, early years and AP censuses
  - multiplied by 15 hours × 38 weeks × local authority's hourly funding rate
- 3.5 Full details of changes to DSG budgets are contained in appendix 1.

### 4. **ANALYSIS OF OPTIONS**

- 4.1 High Needs funding allocations will be updated again in July 2021 with the January 2021 Census data and the February 2021 Individual Learner Record (ILR) data.
- 4.2 The basis for updating indicative Early Years allocations has been reviewed in recognition of the Covid 19 impact. More details of these changes are contained in the Early Years Paper.

### **FINANCIAL AND OTHER RESOURCE IMPLICATIONS**

- 5.1 The conditions of grant no longer permit any transfer of additional funding / redetermination of school budget shares during the year and as such any underspends must be transferred to the DSG for use in future years.
- 5.2 It is the responsibility of the Local Authorities Chief Financial Officer to ensure that DSG grant monies are spent on the purpose for which they are intended.

### 5. **RECOMMENDATIONS**

- 5.1 That schools forum note the changes to DSG funding allocations and planned central expenditure for 2021/22.

## STRATEGIC LEAD FINANCE SERVICE DESK

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Author: Tracy Elliott  
Date: 2 June 2021

**Background Papers used in the preparation of this report –**  
Schools Revenue Funding 2021 to 2022 Operational Guide  
Academy Recoupment Report 2021/22  
DSG Published Allocations 2021/22

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		DSG BLOCK	Total DSG Allocation		
<b>INDIVIDUAL SCHOOLS BUDGET (ISB)</b>				<b>DEDELEGATED ITEMS (within ISB)</b>	
Mainstream Primary & Secondary Schools		SB	120,962,360	Contingencies	£ 0
Academy Recoupment			-60,037,580	Behaviour support services	189,390
Special Schools Place Led Funding		HN	3,312,030	Support to UPEG and bilingual learners	128,240
Pupil Referral Units Place Led Funding		HN	213,220	Free school meals eligibility	12,440
				Insurance	0
				Museum and Library services	0
				Licences/subscriptions	0
				Staff costs supply cover	34,650
				School Improvement Services	0
					<u>364,720</u>
<b>TOTAL ISB</b>			<b>64,450,030</b>	<b>Education Functions for Mainstream Maintained Schools</b>	<b>96,800</b>
<b>HIGH NEEDS BUDGET</b>					
	Top Up Funding for Maintained Schools		5,574,000		
	Top Up Funding for Academies		1,292,000		
	Top Up Funding for Independent Schools		4,759,000		
	Top Up Funding Colleges		341,000		
Top Up Funding		HN		11,966,000	
	Tuition and Medical Needs		198,000		
	Alternative Provision		<u>1,042,000</u>		
Other AP provision		HN		1,240,000	
	Special Needs General		444,000		
	Autism		383,000		
	Portage		166,000		
	SEND Education Inclusion		173,000		
	EISS		92,000		
	SEN Careers & Progression		19,000		
	Hearing Support Service		357,000		
	Visually Impaired Service		401,000		
	Physically Impaired Service		118,000		
	Speech & Language Therapy		178,000		
	Educational Wellbeing		338,000		
	Education Inclusion Team		660,000		
	KS2 Provision		450,000		
	Early Learning Development Centre		14,000		
	Preschool SEND (SENIF)		110,000		
SEN support services		HN		3,903,000	
<b>TOTAL HIGH NEEDS BUDGET</b>				<b>17,109,000</b>	
<b>EARLY YEARS BUDGET</b>					
	3 & 4 yr old funding	EY		7,379,850	
	Pupil Premium	EY		145,250	
	Disability Access	EY		36,900	
	Child Ptosp: SEN & Inclusion		47,000		
	Sufficiency Team		88,000		
	Best Start Early Years Support		131,000		
	Family Support		<u>81,000</u>		
Central expenditure on children under 5		EY		347,000	
<b>TWO YEAR OLD FUNDING</b>				1,442,960	
<b>TOTAL EARLY YEARS BUDGET</b>				<b>9,351,960</b>	
<b>CENTRAL PROVISION WITHIN SCHOOLS BUDGET (CSSB)</b>					
	Learning Networks		72,000		
	SIPs		140,000		
	LSCB		<u>20,000</u>		
Historic Commitments (Contributions to combined budgets)		CB		232,000	
School admissions		CB		91,000	
Servicing of schools forums		CB		17,680	
National copyright licence charge		CB		130,150	
ESG Retained Duties					
Statutory & Regulatory Duties			174,720		
Education Welfare			176,800		
Asset Management			85,280		
		CB		<u>436,800</u>	
Growth Fund		SB		200,000	
Falling Rolls Fund		SB		100,000	
SEN Transport				61,000	
<b>TOTAL CSSB</b>				<b>1,268,630</b>	
<b>TOTAL SCHOOLS BUDGET</b>				<b>92,179,620</b>	
<b>DSG FUNDING</b>					
Schools Block				121,079,689	
less Academy Recoupment				-60,037,580	
Central Block				1,127,964	
High Needs Block (provisional)				21,051,824	
Early Years Block (provisional)				<u>9,433,938</u>	
<b>Final DSG Allocation</b>				<b>92,655,835</b>	
<b>Forecast Contribution to DSG Earmarked Reserve</b>				<b>476,215</b>	
<b>Additional Information:</b>					
<b>Funding Block Analysis</b>					
	<b>Allocation</b>	<b>Budget</b>		<b>EMR changes</b>	
Schools Block	121,079,689	121,262,360		-182,671	
Central Block	1,127,964	968,630		159,334	
High Needs Block after recoupment	21,051,824	20,634,250		417,574	
2 year old Funding	1,282,971	1,442,960		-159,989	
Universal plus extended hours 3 % 4 year old funding	7,975,336	7,726,850		248,486	
Early Years Pupil Premium	138,731	145,250		-6,519	
Early Years Disability Access Fund	36,900	36,900		0	
	<u>152,693,415</u>	<u>152,217,200</u>		<u>476,215</u>	
Less Academy Recoupment	<u>60,037,580</u>	<u>60,037,580</u>		0	
	<b>92,655,835</b>	<b>92,179,620</b>		<b>476,215</b>	
Earmarked Reserve b/fwd				3,859,700	
<b>Estimated DSG EMR c/fwd</b>				<b>4,335,915</b>	

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## NORTH LINCOLNSHIRE COUNCIL

### SCHOOLS FORUM

#### EARLY YEARS – DEDICATED SCHOOLS GRANT

##### 1. OBJECT AND KEY POINTS IN THIS REPORT

- 1.1 This report advises members of a revision to the funding allocation process for the Early Years Dedicated Schools Grant (EYDSG) for the financial years 2020/21 and 2021/22.

##### 2. BACKGROUND INFORMATION

- 2.1 Early Years funding is participation based. The EYDSG for each financial year is determined based on three census counts, usually this would be based on 5/12<sup>th</sup> of January 2020 part time equivalent (pte) child numbers (to cover April 2021 to August 2021) and 7/12<sup>th</sup> of the January 2021 pte child numbers (to cover September 2020 to March 2022). Final funding allocations for 2021/22 are normally based on pte numbers of children recorded on the January 2022 census.
- 2.2 The provisional funding allocations for 2021/22 were determined using the January 2020 census and the January 2021 census occurred as normal. However, the Education Skills and Funding Agency (ESFA) recognise that take-up of funded early education in settings was affected by the lockdown in January due to Covid-19. As a result, they have issued further guidance and a revised funding approach for the financial years 2020/21 and 2021/22. A census count of early years funded hours will be undertaken termly to determine revised allocations.

### **3. FINANCIAL AND OTHER RESOURCE IMPLICATIONS**

#### **3.1 Financial year 2020/21 funding allocation**

The funding allocations for the 2020/21 financial year are based on the January 2020 census count (to cover April 2020 to December 2020) and the January 2021 census count (to cover January 2021 to March 2021). In addition to this to recognise the impact of Covid-19 eligible authorities may also receive top-up funding for the spring term in their final allocation for 2020/21 financial year.

Local authorities that experienced a low level of early years attendance in the January 2021 census week, that have then seen an increase in attendance during the term, will receive an additional allocation capped to the value of 85% of the January 2020 census. To determine revisions to funding allocations the funded hours of two, three and four-year olds (universal and extended hours and Early Years Pupil Premium (EYPP)) are to be submitted for week commencing 17 May 2021. Schools and Academies will submit their details via the School Census and Private and Voluntary Early Years settings hours will be submitted by the Local Authority. The ESFA will then determine the final allocation for the 2020/21 financial year.

Final funding allocations are normally announced in July however they will be delayed until November 2021 to allow the ESFA to determine revised allocations.

#### **3.2 Financial year 2021/22 funding allocation**

For the financial year 2021/22 a census count, as detailed in 3.1, will be submitted for each term. The count on 17 May 2021, 4 October 2021 and 20 January 2022 will determine funding allocations for each term, respectively. Funding for the financial year 2021/22 will be based solely on these returns unless the authority does not make a proper return.

### **4. OTHER IMPLICATIONS**

- 4.1 The revised funding processes reduce the level of risk as funding allocations for 2021/22 are based on actual participation of children each term. Members will be advised of funding allocations upon publication.
- 4.2 The Disability Access Fund is outside the scope of the termly census count. The funding level will remain at £36,900 as advised.
- 4.3 Funding for individual early years settings is unchanged. The funding is participation based, as such providers receive funding based on registered children's hours each term.

## 5. RECOMMENDATIONS

- 5.1 That members note the revisions to the EYDSG funding allocation processes for financial years 2020/21 and 2021/22.

### EARLY YEARS SUFFICIENCY MANAGER

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Author: Nicola Raines  
Date: 27 May 2021

**Background Papers used in the preparation of this report – [Early years funding guidance for local authorities: spring term 2021 and the 2021 to 2022 financial year](#), Education and Skills Funding Agency**

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Report of the Head of Service,  
Access and Inclusion  
(Deputy Director Education  
And Inclusion)

Meeting: 10 June 2021

## **NORTH LINCOLNSHIRE COUNCIL**

### **SCHOOLS FORUM**

#### **REPORT ON THE FAIR PRIMARY AND SECONDARY FAIR ACCESS AND INCLUSION PANELS (FAIP) AND THE PROPOSED CLOSURE OF DARLEY CENTRE**

##### **1. OBJECT AND KEY POINTS IN THIS REPORT**

- 1.1 To update Schools Forum on the progress of the primary and secondary FAIP
- 1.2 To update Schools Forum on the proposed closure of the Key Stage 3 Darley Centre Pupil Referral Unit

##### **2. BACKGROUND INFORMATION**

###### **2.1 FAIP**

Both the primary and secondary FAIP are regularly well attended by schools and continue to build on their strengths. Secondary panel consists of representation from senior staff members within each secondary school. Secondary FAIP is generally held as near to fortnightly as possible dependent on school holidays. Primary FAIP has a steering group of key senior school staff with an option for any primary school to attend each FAIP should they so wish. Primary FAIP have moved from half termly to monthly in order to ensure schools have regular opportunity to submit referrals for provision. Both panels have additional representation from the police, SEND, Behaviour Teams, ASET, YOS where necessary. The FAIPs have an independent chair. Through consultation with headteachers, secondary schools now have responsibility for seeking their own alternative provision for individual pupils where required, although requests for placements at Darley Centre and Coritani continue to be heard via the FAIP. Managed moves have

reduced in recent months, however continue to be sought school to school without the need for FAIP. Primary FAIP hears referrals for Coritani Key Stage 2 provision and the Key Stage 1 Nest at Scunthorpe C of E. Students usually attend full time in each provision for a minimum of 2 terms dependent on need and progress. Both provisions support students with SEMH and Coritani holds a number of places for children with an EHCP who may need a more specialised longer term placement. Primary FAIP has developed a drop in for schools to access support and advice from other headteachers alongside the Behaviour Team at each panel. As of the 14<sup>th</sup> May there remains less than 40 students in secondary alternative provision for which the LA holds responsibility. In primary there are currently 3 in The Nest and Coritani key stage 2 has 3 students with an EHCP, one without and potential new students to be heard at the next panel meeting.

A revised system for monitoring alternative provision has been developed and trialled in recent weeks which includes provisions undertaking and rag rating their own SEF, uploading all documents and policies and providing opportunity for a full day visit by a monitoring officer, culminating in a comprehensive rag rated report against the SEF and the five areas of Ofsted regardless of whether they are Ofsted registered or not.

1. Leadership and Management
2. Safeguarding/ Health and Safety
3. Outcomes for Learners
4. Personal Development, Behaviour & Welfare
5. Teaching, Learning & Assessment

Schools have the opportunity to log into the system where all information on alternative provision is held in one place.

### **Darley Centre**

Through the North Lincolnshire One Family Approach (OFA), our shared ambition is for children to remain in their families, in their schools and their communities. For this reason, working in partnership with Headteachers and Principals, there has been a significant drive on inclusion – to see a reduction in both permanent and fixed term exclusions, to support changes to the market-place and commissioning arrangements for alternative learning provision (ALP) and to increase the use of managed transfer to other mainstream provision where this would be beneficial to individual young people. This continued focus upon inclusion and vulnerable groups, such as those with Special Educational Needs and Disabilities (SEND) - through the Headteacher / Principal partnership work and through the key priorities of the Integrated Children's Trust Board (ICT) has resulted in the following:

- reducing numbers of permanent and fixed term exclusions at secondary phase (3- year trend data)
- a reduction in the overall use of ALP (from approximately 160 LA commissioned places to under 40)
- a

reduction in the overall school directly-commissioned ALP places • an increase in the use of managed transfer to other mainstream provision • an overall reduction in the use of the Darley Centre. Within recent years there has also been a focus upon supporting secondary phase schools and academies to make best use of their accommodation for the benefit of young people of mainstream ability with social, emotional and mental health needs (SEMH) and also with needs such as autism / ADHD – supporting the development of smaller nurturing environments. National SEND Capital allocation has been used for this purpose with two provisions already in place and another in progress. Another competition exercise for SEND Capital funding has recently been launched to support the development of further inclusion projects within mainstream secondary provision. Further discussions are taking place with primary Headteachers to establish what other provision and support might be required into the future. As a result of these positive changes discussions have taken place about future commissioning arrangements and intentions. Following initial consultation with the Headteachers / Principals' Chairs' Group and, specifically on the basis of reduced need and in the context of what is described above, the Council has launched a consultation with the proposal to close the Darley Centre with effect from the end of July 2021. This has been presented to the Darley Centre governing body (17th May) and the Darley Centre remaining substantive staff of four (18th May). The consultation formally started from Tuesday 25th May 2021 (with union colleagues present). There will further opportunity to discuss this at the Secondary Matters meeting on 7th July.

### **3. OPTIONS FOR CONSIDERATION**

3.1 There are no specific options at this stage as this is primarily an information / update paper, although noted that there is currently a consultation underway on the future of the Darley Centre.

### **4. ANALYSIS OF OPTIONS**

4.1 Ensuring efficient use of High Needs resources (ALP and SEND Capital) – supporting improved outcomes for our children and young people.

### **5. FINANCIAL AND OTHER RESOURCE IMPLICATIONS**

- 5.1 There may be financial implications due to the potential closure of the Darley Centre in particular redundancy payments for the remaining substantive staff (4).
- 5.2 SEND capital funding will be allocated as per the outcome of the bidding process on the 18<sup>th</sup> June 2021.

## 6. OTHER IMPLICATIONS

6.1 None.

## 7. OUTCOMES OF CONSULTATION

7.1 Awaiting outcome on the consultation on the potential closure of the Darley Centre.

## 8. RECOMMENDATIONS

- 8.1 Headteachers / Principals / LA to continue to work together to implement and embed area-wide solutions (as outlined).
- 8.2 Further develop local inclusion opportunities (SEND Capital Funding).
- 8.3 Headteachers / Principals consider the consultation on the proposed closure of the Darley Centre.

HEAD OF SERVICE – ACCESS AND INCLUSION (DEPUTY  
DIRECTOR EDUCATION AND INCLUSION)

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Author: Wendy Holmes  
Date: 2<sup>nd</sup> June 2021

**Background Papers used in the preparation of this report: none.**